

## VISIBILITY OF MORDELL-WEIL GROUPS

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ABSTRACT. We introduce a notion of visibility for Mordell-Weil groups, make a conjecture about visibility, and support it with theoretical evidence and data. These results shed new light on relations between Mordell-Weil and Shafarevich-Tate groups.

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## 1 INTRODUCTION

Consider an exact sequence  $0 \rightarrow C \rightarrow B \rightarrow A \rightarrow 0$  of abelian varieties over a number field  $K$ . We say that the covering  $B \rightarrow A$  is *optimal* since its kernel  $C$  is connected. As introduced in [LT58], there is a corresponding long exact sequence of Galois cohomology

$$0 \rightarrow C(K) \rightarrow B(K) \rightarrow A(K) \xrightarrow{\delta} H^1(K, C) \rightarrow H^1(K, B) \rightarrow H^1(K, A) \rightarrow \dots$$

The study of the Mordell-Weil group  $A(K)$  is central in arithmetic geometry. For example, the Birch and Swinnerton-Dyer conjecture (BSD conjecture) of [Bir71, Tat66]), which is one of the Clay Math Problems [Wil00], asserts that the rank  $r$  of  $A(K)$  equals the ordering vanishing of  $L(A, s)$  at  $s = 1$ , and also gives a conjectural formula for  $L^{(r)}(A, 1)$  in terms of the invariants of  $A$ .

The group  $H^1(K, A)$  is also of interest in connection with the BSD conjecture, because it contains the Shafarevich-Tate group

$$\text{III}(A/K) = \text{Ker} \left( H^1(K, A) \rightarrow \bigoplus_v H^1(K_v, A) \right),$$

which is the most mysterious object appearing in the BSD conjecture.

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DEFINITION 1.0.1 (Visibility). The *visible subgroup* of  $H^1(K, C)$  relative to the embedding  $C \hookrightarrow B$  is

$$\begin{aligned} \text{Vis}_B H^1(K, C) &= \text{Ker}(H^1(K, C) \rightarrow H^1(K, B)) \\ &\cong \text{Coker}(B(K) \rightarrow A(K)). \end{aligned}$$

The *visible quotient* of  $A(K)$  relative to the optimal covering  $B \rightarrow A$  is

$$\begin{aligned} \text{Vis}^B(A(K)) &= \text{Coker}(B(K) \rightarrow A(K)) \\ &\cong \text{Vis}_B H^1(K, C). \end{aligned}$$

We say an abelian variety over  $\mathbb{Q}$  is *modular* if it is a quotient of the modular Jacobian  $J_1(N) = \text{Jac}(X_1(N))$ , for some  $N$ . For example, every elliptic curve over  $\mathbb{Q}$  is modular [BCDT01].

This paper gives evidence toward the following conjecture that Mordell-Weil groups should give rise to many visible Shafarevich-Tate groups.

CONJECTURE 1.0.2. Let  $A$  be an abelian variety over a number field  $K$ . For every integer  $m$ , there is an exact sequence  $0 \rightarrow C \rightarrow B \rightarrow A \rightarrow 0$  such that:

1. The image of  $B(K)$  in  $A(K)$  is contained in  $mA(K)$ , so  $A(K)/mA(K)$  is a quotient of  $\text{Vis}^B(A(K))$ .
2. If  $K = \mathbb{Q}$  and  $A$  is modular, then  $B$  is modular.
3. The rank of  $C$  is zero.
4. We have  $\text{Coker}(B(K) \rightarrow A(K)) \subset \text{III}(C/K)$ , via the connecting homomorphism.

In [Ste04] we give the following computational evidence for this conjecture.

THEOREM 1.0.3. Let  $E$  be the rank 1 elliptic curve  $y^2 + y = x^3 - x$  of conductor 37. Then Conjecture 1.0.2 is true for all primes  $m = p < 25000$  with  $p \neq 2, 37$ .

Let  $f = \sum a_n q^n$  be the newform associated to the elliptic curve  $E$  of Theorem 1.0.3. Suppose  $p$  is one of the primes in the theorem. Then there is an  $\ell \equiv 1 \pmod{p}$  and a surjective Dirichlet character  $\chi : (\mathbb{Z}/\ell\mathbb{Z})^* \rightarrow \mu_p$  such that  $L(f \otimes \chi, 1) \neq 0$ . The  $C$  of the theorem belongs to the isogeny class of abelian varieties associated to  $f^\chi$  and  $C$  has dimension  $p - 1$ .

In general, we expect the construction of [Ste04] to work for any elliptic curve and any odd prime  $p$  of good reduction. The main obstruction to proving that it does work is proving a nonvanishing result for the special values  $L(f^\chi, 1)$ . In [Ste04], we verified this hypothesis using modular symbols for  $p < 25000$ .

A surprising observation that comes out of the construction of [Ste04] is that  $\#\text{III}(C) = p \cdot n^2$ , where  $n^2$  is an integer square. We thus obtained the first ever examples of abelian varieties whose Shafarevich-Tate groups have order neither a square nor twice a square.

## 1.1 CONTENTS

In Section 2, we give a brief review of results about visibility of Shafarevich-Tate groups. In Section 3, we give evidence for Conjecture 1.0.2 using results of Kato, Lichtenbaum and Mazur. Section 4 is about bounding the dimension of the abelian varieties in which Mordell-Weil groups are visible. We prove that every Mordell-Weil group is 2-visible relative to an abelian surface. In Section 5, we describe how to construct visible quotients of Mordell-Weil groups, and carry out a computational study of relations between Mordell-Weil groups of elliptic curves and the arithmetic of rank 0 factors of  $J_0(N)$ .

## 1.2 ACKNOWLEDGEMENT

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## 2 REVIEW OF VISIBILITY OF GALOIS COHOMOLOGY

In this section, we briefly review visibility of elements of  $H^1(K, A)$ , as first introduced by Mazur in [CM00, Maz99], and later developed by Agashe and Stein in [Aga99a, AS05, AS02]. We describe two basic results about visibility, and in Section 2.2 we discuss modularity of elements of  $H^1(K, A)$ .

Consider an exact sequence of abelian varieties

$$0 \rightarrow A \rightarrow B \rightarrow C \rightarrow 0$$

over a number field  $K$ . Elements of  $H^0(K, C)$  are points, so they are relatively easy to “visualize”, but elements of  $H^1(K, A)$  are mysterious.

There is a geometric way to view elements of  $H^1(K, A)$ . The Weil-Chatalet group  $WC(A/K)$  of  $A$  over  $K$  is the group of isomorphism classes of principal homogeneous spaces for  $A$ , where a principal homogeneous space is a variety  $X$  and a simply-transitive action  $A \times X \rightarrow X$ . Thus  $X$  is a twist of  $A$  as a variety, but  $X(K) = \emptyset$ , unless  $X$  is isomorphic to  $A$ . Also, the elements of  $\text{III}(A)$  correspond to the classes of  $X$  that have a  $K_v$ -rational point for all places  $v$ . By [LT58, Prop. 4], there is an isomorphism between  $H^1(K, A)$  and  $WC(A/K)$ .

In [CM00], Mazur introduced the visible subgroup of  $H^1$  as in Definition 1.0.1 in order to help unify diverse constructions of principal homogeneous spaces. Many papers were subsequently written about visibility, including [Aga99b, Maz99, Kle01, AS02, MO03, DWS03, AS05, Dum01].

*Remark 2.0.1.* Note that  $\text{Vis}_B H^1(K, A)$  depends on the embedding of  $A$  into  $B$ . For example, if  $B = B_1 \times A$ , then there could be nonzero visible elements if  $A$  is embedded into the first factor, but there will be no nonzero visible elements if  $A$  is embedded into the second factor.

A connection between visibility and  $\text{WC}(A/K)$  is as follows. Suppose

$$0 \rightarrow A \rightarrow B \xrightarrow{\pi} C \rightarrow 0$$

is an exact sequence of abelian varieties and that  $c \in H^1(K, A)$  is visible in  $B$ . Thus there exists  $x \in C(K)$  such that  $\delta(x) = c$ , where  $\delta : C(K) \rightarrow H^1(K, A)$  is the connecting homomorphism. Then  $X = \pi^{-1}(x) \subset B$  is a translate of  $A$  in  $B$ , so the group law on  $B$  gives  $X$  the structure of principal homogeneous space for  $A$ , and this homogeneous space in  $\text{WC}(A/K)$  corresponds to  $c$ .

## 2.1 BASIC FACTS

Two basic facts about visibility are that the visible subgroup of  $H^1(K, A)$  in  $B$  is finite, and that each element of  $H^1(K, A)$  is visible in some  $B$ .

LEMMA 2.1.1. *The group  $\text{Vis}_B H^1(K, A)$  is finite.*

*Proof.* Let  $C = B/A$ . By the Mordell-Weil theorem  $C(K)$  is finitely generated. The group  $\text{Vis}_B H^1(K, A)$  is a homomorphic image of  $C(K)$  so it is finitely generated. On the other hand, it is a subgroup of  $H^1(K, A)$ , so it is a torsion group. But a finitely generated torsion abelian group is finite.  $\square$

PROPOSITION 2.1.2. *Let  $c \in H^1(K, A)$ . Then there exists an abelian variety  $B$  and an embedding  $A \hookrightarrow B$  such that  $c$  is visible in  $B$ . Moreover,  $B$  can be chosen to be a twist of a power of  $A$ .*

*Proof.* See [AS02, Prop. 1.3] for a cohomological proof or [JS05, §5] for an equivalent geometric proof. Johan de Jong also proved that everything is visible somewhere in the special case  $\dim(A) = 1$  using Azumaya algebras, Néron models, and étale cohomology, as explained in [CM00, pg. 17–18], but his proof gives no (obvious) specific information about the structure of  $B$ .  $\square$

## 2.2 MODULARITY

Usually one focuses on visibility of elements in  $\text{III}(A) \subset H^1(K, A)$ . The papers [CM00, AS02, AS05] contain a number of results about visibility in various special cases, and tables involving elliptic curves and modular abelian varieties.

For example, if  $A \subset J_0(389)$  is the 20-dimensional simple newform abelian variety, then we show that

$$\mathbb{Z}/5\mathbb{Z} \times \mathbb{Z}/5\mathbb{Z} \cong E(\mathbb{Q})/5E(\mathbb{Q}) \subset \text{III}(A),$$

where  $E$  is the elliptic curve of conductor 389. The divisibility  $5^2 \mid \#\text{III}(A)$  is as predicted by the BSD conjecture. The paper [AS05] contains a few dozen other examples like this; in most cases, explicit computational construction of the Shafarevich-Tate group seems hopeless using any other known techniques.

The author has conjectured that if  $A$  is a modular abelian variety, then every element of  $\text{III}(A)$  is modular, i.e., visible in a modular abelian variety. It is a theorem that if  $c \in \text{III}(A)$  has order either 2 or 3 and  $A$  is an elliptic curve, then  $c$  is modular (see [JS05]).

## 3 RESULTS TOWARD CONJECTURE 1.0.2

The main result of this section is a proof of parts 1 and 2 of Conjecture 1.0.2 for elliptic curves over  $\mathbb{Q}$ . We prove more generally that Mazur's conjecture on finite generatedness of Mordell-Weil groups over cyclotomic  $\mathbb{Z}_p$ -extensions implies part 1 of Conjecture 1.0.2. Then we observe that for elliptic curves over  $\mathbb{Q}$ , Mazur's conjecture is known, and prove that the abelian varieties that appear in our visibility construction are modular, so parts 1 and 2 of Conjecture 1.0.2 are true for elliptic curves over  $\mathbb{Q}$ .

For a prime  $p$ , the cyclotomic  $\mathbb{Z}_p$ -extension of  $\mathbb{Q}$  is an extension  $\mathbb{Q}_{p^\infty}$  of  $\mathbb{Q}$  with Galois group  $\mathbb{Z}_p$ ; also  $\mathbb{Q}_{p^\infty}$  is contained in the cyclotomic field  $\mathbb{Q}(\mu_{p^\infty})$ . We let  $\mathbb{Q}_{p^n}$  denote the unique subfield of  $\mathbb{Q}_{p^\infty}$  of degree  $p^n$  over  $\mathbb{Q}$ . If  $K$  is an arbitrary number field, the cyclotomic  $\mathbb{Z}_p$ -extension of  $K$  is  $K_{p^\infty} = K \cdot \mathbb{Q}_{p^\infty}$ . We denote by  $K_{p^n}$  the unique subfield of  $K_{p^\infty}$  of degree  $p^n$  over  $K$ . The extension  $K_{p^\infty}$  of  $K$  decomposes as a tower

$$K = K_{p^0} \subset K_{p^1} \subset \cdots \subset K_{p^n} \subset \cdots \subset K_{p^\infty} = \bigcup_{n=0}^{\infty} K_{p^n}.$$

Mazur hints at the following conjecture in [Maz78] and [RM05, §3]:

CONJECTURE 3.0.1 (Mazur). *If  $A$  is an abelian variety over a number field  $K$  and  $p$  is a prime, then  $A(K_{p^\infty})$  is a finitely generated abelian group.*

Let  $L/K$  be a finite extension of number fields and  $A$  an abelian variety over  $K$ . In much of the rest of this paper we will use the *restriction of scalars*  $R = \text{Res}_{L/K}(A_L)$  of  $A$  viewed as an abelian variety over  $L$ . Thus  $R$  is an abelian variety over  $K$  of dimension  $[L : K]$ , and  $R$  represents the following functor on the category of  $K$ -schemes:

$$S \mapsto E_L(S_L).$$

If  $L/K$  is Galois, then we have an isomorphism of  $\text{Gal}(\overline{\mathbb{Q}}/K)$ -modules

$$R(\overline{\mathbb{Q}}) = A(\overline{\mathbb{Q}}) \otimes_{\mathbb{Z}} \mathbb{Z}[\text{Gal}(L/K)],$$

where  $\tau \in \text{Gal}(\overline{\mathbb{Q}}/K)$  acts on  $\sum P_\sigma \otimes \sigma$  by

$$\tau \left( \sum P_\sigma \otimes \sigma \right) = \sum \tau(P_\sigma) \otimes \tau_L \cdot \sigma,$$

where  $\tau_L$  is the image of  $\tau$  in  $\text{Gal}(L/K)$ .

THEOREM 3.0.2. *Conjecture 3.0.1 implies part 1 of Conjecture 1.0.2. More precisely, if  $A/K$  is an abelian variety,  $m$  is a positive integer, and  $A(K_{p^\infty})$  is finitely generated for each  $p \mid m$ , then there is an optimal covering of the form  $B = \text{Res}_{L/K}(A_L) \rightarrow A$  such that  $L$  is abelian over  $K$  and the image of  $B(K)$  in  $A(K)$  is contained in  $mA(K)$ .*

*Proof.* Fix a prime  $p \mid m$ . Let  $M = K_{p^\infty}$ . Because  $A(M)$  is finitely generated, some finite set of generators must be in a single sufficiently large  $A(K_{p^n})$ , and for this  $n$  we have  $A(M) = A(K_{p^n})$ . For any integer  $j > 0$  let

$$R_j = \text{Res}_{K_{p^j}/K}(A_{K_{p^j}}).$$

Then, as explained in [Ste04], the trace map induces an exact sequence

$$0 \rightarrow B_j \rightarrow R_j \xrightarrow{\pi_j} A \rightarrow 0,$$

with  $B_j$  an abelian variety. Then for any  $j \geq n$ ,  $A(K_{p^j}) = A(K_{p^n})$ , so

$$\begin{aligned} \text{Vis}^{B_j}(A(K)) &\cong A(K)/\pi_j(R_j(K)) \\ &= A(K)/\text{Tr}_{K_{p^j}/K}(A(K_{p^j})) \\ &= A(K)/\text{Tr}_{K_{p^n}/K}(\text{Tr}_{K_{p^j}/K_{p^n}}(A(K_{p^j}))) \\ &= A(K)/\text{Tr}_{K_{p^n}/K}(\text{Tr}_{K_{p^j}/K_{p^n}}(A(K_{p^n}))) \\ &= A(K)/\text{Tr}_{K_{p^n}/K}(p^{j-n}A(K_{p^n})) \\ &= A(K)/p^{j-n}\text{Tr}_{K_{p^n}/K}(A(K_{p^n})) \\ &\rightarrow A(K)/p^{j-n}A(K), \end{aligned}$$

where the last map is surjective since

$$\text{Tr}_{K_{p^n}/K}(A(K_{p^n})) \subset A(K).$$

Arguing as above, for each prime  $p \mid m$ , we find an extension  $L_p$  of  $K$  of degree a power of  $p$  such that  $\text{Tr}_{L_p/K}(A(L_p)) \subset p^{\nu_p}A(K)$ , where  $\nu_p = \text{ord}_p(m)$ . Let  $L$  be the compositum of the fields  $L_p$ . Then for each  $p \mid m$ ,

$$\text{Tr}_{L/K}(A(L)) = \text{Tr}_{L_p/K}(\text{Tr}_{L/L_p}(A(L))) \subset \text{Tr}_{L_p/K}(A(L_p)) \subset p^{\nu_p}A(K).$$

Thus

$$\text{Tr}_{L/K}(A(L)) \subset \bigcap_{p \mid m} p^{\nu_p}A(K) = mA(K), \quad (1)$$

where for the last equality we view  $A(K)$  as a finite direct sum of cyclic groups.

Let  $R = \text{Res}_{L/K}(A_L)$ . Then trace induces an optimal cover  $R \rightarrow A$ , and (1) implies that we have the required surjective map

$$\text{Vis}^R(A(K)) = A(K)/\text{Tr}_{L/K}(A(L)) \rightarrow A(K)/mA(K).$$

□

We will next prove parts 1 and 2 of Conjecture 1.0.2 for elliptic curves over  $\mathbb{Q}$  by observing that Conjecture 3.0.1 is a theorem of Kato in this case. We first prove a modularity property for restriction of scalars. Recall that a modular abelian variety is a quotient of  $J_1(N)$ .

PROPOSITION 3.0.3. *If  $A$  is a modular abelian variety over  $\mathbb{Q}$  and  $K$  is an abelian extension of  $\mathbb{Q}$ , then  $\text{Res}_{K/\mathbb{Q}}(A_K)$  is also a modular abelian variety.*

*Proof.* Since  $A$  is modular,  $A$  is isogenous to a product of abelian varieties  $A_f$  attached to newforms in  $S_2(\Gamma_1(N))$ , for various  $N$ . Since the formation of restriction of scalars commutes with products, it suffices to prove the proposition under the hypothesis that  $A = A_f$  for some newform  $f$ . Let  $R = \text{Res}_{K/\mathbb{Q}}(A_f)$ . As discussed in [Mil72, pg. 178], for any prime  $p$  there is an isomorphism of  $\mathbb{Q}_p$ -adic Tate modules

$$V_p(R) \cong \text{Ind}_{G_K}^{G_{\mathbb{Q}}} V_p(A_K).$$

The induced representation on the right is the direct sum of twists of  $V_p(A_K)$  by characters of  $\text{Gal}(K/\mathbb{Q})$ . This is isomorphic to the  $\mathbb{Q}_p$ -adic Tate module of some abelian variety  $P = \prod_{\chi} A_{g^{\chi}}$ , where  $\chi$  runs through certain Dirichlet characters corresponding to the abelian extension  $K/\mathbb{Q}$ , and  $g$  runs through certain  $\text{Gal}(\overline{\mathbb{Q}}/\mathbb{Q})$ -conjugates of  $f$ , and  $g^{\chi}$  denotes the twist of  $g$  by  $\chi$ . Falting's theorem (see e.g., [Fal86, §5]) then gives us the desired isogeny  $R \rightarrow P$ .

It is not necessary to use the full power of Falting's theorem to prove this proposition, since Ribet [Rib80] gave a more elementary proof of Falting's theorem in the case of modular abelian varieties. However, we must work some to apply Ribet's theorem, since we do not know yet that  $R$  is modular.

Let  $R$  and  $P$  be as above. Over  $\overline{\mathbb{Q}}$ , the abelian variety  $A$  is isogenous to a power of a simple abelian variety  $B$ , since if more than one non-isogenous simple occurred in the decomposition of  $A/\overline{\mathbb{Q}}$ , then  $\text{End}(A/\overline{\mathbb{Q}})$  would not be a matrix ring over a (possibly skew) field (see [Rib92, §5]). For any character  $\chi$ , by the (3)  $\implies$  (2) assertion of [Rib80, Thm. 4.7], the abelian varieties  $A_f$  and  $A_{f^{\chi}}$  are isogenous over  $\overline{\mathbb{Q}}$  to powers of the same abelian variety  $A'$ , hence to powers of the simple  $B$ . A basic property of restriction of scalars is that  $R_K$  is isomorphic to a power of  $(A_f)_K$ , hence  $R_K$  is isogenous over  $\overline{\mathbb{Q}}$  to a power of  $B$ . Thus  $R$  and  $P$  are both isogenous over  $\overline{\mathbb{Q}}$  to a power of  $B$ , so  $R$  is isogenous to  $P$  over  $\overline{\mathbb{Q}}$ , since they have the same dimension, as their Tate modules are isomorphic. Let  $L$  be a Galois number field over which such an isogeny is defined. Consider the natural  $\text{Gal}(\overline{\mathbb{Q}}/\mathbb{Q})$ -equivariant inclusion

$$\text{Hom}(R_{\mathbb{Q}}, P_{\mathbb{Q}}) \otimes \mathbb{Q}_p \hookrightarrow \text{Hom}_{\text{Gal}(\overline{\mathbb{Q}}/\mathbb{Q})}(V_p(R), V_p(P)). \tag{2}$$

By Ribet's proof of the Tate conjecture for modular abelian varieties [Rib80], the inclusion

$$\text{Hom}(R_L, P_L) \otimes \mathbb{Q}_p \hookrightarrow \text{Hom}_{\text{Gal}(\overline{\mathbb{Q}}/L)}(V_p(R), V_p(P)) \tag{3}$$

is an isomorphism, since there is an isogeny  $P_L \rightarrow R_L$  and  $P$  is modular. But then (2) must also be an isomorphism, since (2) is the result of taking  $\text{Gal}(L/\mathbb{Q})$ -invariants of both sides of (3).

By construction of  $P$ , there is an isomorphism  $V_p(R) \cong V_p(P)$  of  $\text{Gal}(\overline{\mathbb{Q}}/\mathbb{Q})$ -modules, so by (2) there is an isomorphism in  $\text{Hom}(R_{\mathbb{Q}}, P_{\mathbb{Q}}) \otimes \mathbb{Q}_p$ . Thus there is

a  $\mathbb{Q}_p$ -linear combination of elements of  $\text{Hom}(R_{\mathbb{Q}}, P_{\mathbb{Q}})$  that has nonzero determinant. However, if a  $\mathbb{Q}_p$ -linear combination of matrices has nonzero determinant, then some  $\mathbb{Q}$ -linear combination does, since the determinant is a polynomial function of the coefficients and  $\mathbb{Q}$  is dense in  $\mathbb{Q}_p$ . Thus there is an isogeny  $R \rightarrow P$  defined over  $\mathbb{Q}$ , so  $R$  is modular.  $\square$

**COROLLARY 3.0.4.** *Parts 1 and 2 of Conjecture 1.0.2 are true for every elliptic curve  $E$  over  $\mathbb{Q}$ .*

*Proof.* Suppose  $p$  is a prime, and let  $\mathbb{Q}_{p^\infty}$  be the cyclotomic  $\mathbb{Z}_p$  extension of  $\mathbb{Q}$ . By [BCDT01],  $E$  is a modular elliptic curve, so work of Rohrlich [Roh84], Kato [Kat04, Sch98], and Serre [Ser72] implies that  $E(\mathbb{Q}_{p^\infty})$  is finitely generated (see [Rub98, Cor. 8.2]). Theorem 3.0.2 implies that if  $x \in E(\mathbb{Q})$  and  $m \mid \text{order}(x)$ , then  $x$  is  $m$ -visible relative to an optimal cover of  $E$  by a restriction of scalars  $B$  from an abelian extension. Then Proposition 3.0.3 implies that  $B$  is modular.  $\square$

#### 4 THE VISIBILITY DIMENSION

The visibility dimension is analogous to the visibility dimension for elements of  $H^1(K, A)$  introduced in [AS02, §2]. We prove below that elements of order 2 in Mordell-Weil groups of elliptic curves over  $\mathbb{Q}$  are 2-visible relative to an abelian surface. Along the way, we make a general conjecture about stability of rank and show that it implies a general bound on the visibility dimension.

**DEFINITION 4.0.5 (Visibility Dimension).** Let  $A$  be an abelian variety over a number field  $K$  and suppose  $m$  is an integer. Then  $A$  has  *$m$ -visibility dimension  $n$*  if there is an optimal cover  $B \rightarrow A$  with  $n = \dim(B)$  and the image of  $B(K)$  in  $A(K)$  is contained in  $mA(K)$ , so  $A(K)/mA(K)$  is a quotient of  $\text{Vis}^B(A(K))$ .

The following rank-stability conjecture is motivated by its usefulness for proving a result about  $m$ -visibility.

**CONJECTURE 4.0.6.** *Suppose  $A$  is an abelian variety over a number field  $K$ , that  $L$  is a finite extension of  $K$ , and  $m > 0$  is an integer. Then there is an extension  $M$  of  $K$  of degree  $m$  such that  $\text{rank}(A(K)) = \text{rank}(A(M))$  and  $M \cap L = K$ .*

The following proposition describes how Conjecture 4.0.6 can be used to find an extension where the index of  $A(K)$  in  $A(M)$  is coprime to  $m$ .

**PROPOSITION 4.0.7.** *Let  $A$  be an abelian variety over a number field  $K$  and suppose  $m$  is a positive integer. If Conjecture 4.0.6 is true for  $A$  and  $m$ , then there is an extension  $M$  of  $K$  of degree  $m$  such that  $A(M)/A(K)$  is of order coprime to  $m$ .*



*Proof.* Choose a finite set  $P_1, \dots, P_n$  of generators for  $A(K)$ . Let

$$L = K \left( \frac{1}{m}P_1, \dots, \frac{1}{m}P_n \right)$$

be the extension of  $K$  generated by all  $m$ th roots of each  $P_i$ . Since the set of  $m$ th roots of a point is closed under the action of  $\text{Gal}(\overline{K}/K)$ , the extension  $L/K$  is Galois. Note also that the  $m$  torsion of  $A$  is defined over  $L$ , since the differences of conjugates of a given  $\frac{1}{m}P_i$  are exactly the elements of  $A[m]$ . Let  $S$  be the set of primes of  $K$  that ramify in  $L$ .

By our hypothesis that Conjecture 4.0.6 is true for  $A$  and  $m$ , there is an extension  $M$  of  $K$  of degree  $m$  such that

$$\text{rank}(A(K)) = \text{rank}(A(M))$$

and  $M \cap L = K$ . In particular,  $C = A(M)/A(K)$  is a finite group. Suppose, for the sake of contradiction, that  $\text{gcd}(m, \#C) \neq 1$ , so there is some prime divisor  $p \mid m$  and an element  $[Q] \in C$  of exact order  $p$ . Here  $Q \in A(M)$  is such that  $pQ \in A(K)$  but  $Q \notin A(K)$ . Because  $P_1, \dots, P_n$  generate  $A(K)$  and  $pQ \in A(K)$ , there are integers  $a_1, \dots, a_n$  such that

$$pQ = \sum_{i=1}^n a_i P_i.$$

Then for any fixed choice of the  $\frac{1}{p}P_i$ , we have

$$Q - \sum_{i=1}^n a_i \cdot \frac{1}{p}P_i \in A[p],$$

since

$$p \left( Q - \sum_{i=1}^n a_i \cdot \frac{1}{p}P_i \right) = pQ - \sum_{i=1}^n a_i \cdot P_i = 0.$$

Thus  $Q \in A(L)$ . But then since  $L \cap M = K$ , so we obtain a contradiction from

$$Q \in A(L) \cap A(M) = A(K).$$

□

With Proposition 4.0.7 in hand, we show that Conjecture 4.0.6 bounds the visibility dimension of Mordell-Weil groups. In particular, we see that Conjecture 4.0.6 implies that for any abelian variety  $A$  over a number field  $K$ , and any  $m$ , there is an embedding  $A(K)/mA(K) \hookrightarrow H^1(K, C)$  coming from a  $\delta$  map, where  $C$  is an abelian variety over  $K$  of rank 0.

**THEOREM 4.0.8.** *Let  $A$  be an abelian variety over a number field  $K$  and suppose  $m$  is a positive integer. If Conjecture 4.0.6 is true for  $A$  and  $m$ , then there is an optimal covering  $B \rightarrow A$  with  $B$  of dimension  $m$  such that*

$$\text{Vis}^B(A(K)) \cong A(K)/mA(K).$$

*Proof.* By Proposition 4.0.7, there is an extension  $M$  of  $K$  of degree  $m$  such that the quotient  $A(M)/A(K)$  is finite of order coprime to  $m$ . Then, as in [Ste04], the restriction of scalars  $B = \text{Res}_{M/K}(A_M)$  is an optimal cover of  $A$  and

$$\text{Vis}^B(A(K)) \cong A(K)/\text{Tr}(A(M)).$$

However, there is also an inclusion  $A \hookrightarrow B$  from which one sees that

$$mA(K) \subset \text{Tr}(A(M)),$$

so  $\text{Vis}^B(A(K))$  is an  $m$ -torsion group.

We have

$$[\text{Tr}(A(M)) : \text{Tr}(A(K))] \mid [A(M) : A(K)].$$

We showed above that  $\gcd([A(M) : A(K)], m) = 1$ , so since

$$\text{Tr}(A(M))/\text{Tr}(A(K))$$

is killed by  $m$ , it follows that  $\text{Tr}(A(M)) = \text{Tr}(A(K))$ . We conclude that

$$\text{Vis}^B(A(K)) = A(K)/mA(K).$$

□

PROPOSITION 4.0.9. *If  $E$  is an elliptic curve over  $\mathbb{Q}$  and  $m = 2$ , then Conjecture 4.0.6 is true for  $E$  and  $m$ .*

*Proof.* Let  $L$  be as in Conjecture 4.0.6, so  $L$  is an extension of  $\mathbb{Q}$  of possibly large degree. Let  $D$  be the discriminant of  $L$ . By [MM97, BFH90] there are infinitely many quadratic imaginary extensions  $M$  of  $\mathbb{Q}$  such that  $L(E^M, 1) \neq 0$ , where  $E^M$  is the quadratic twist of  $E$  by  $M$ . By [Kol91, Kol88] all these curves have rank 0. Since there are only finitely many quadratic fields ramified only at the primes that divide  $D$ , there must be some field  $M$  that is ramified at a prime  $p \nmid D$ . If  $M$  is contained in  $L$ , then all the primes that ramify in  $M$  divide  $D$ , so  $M$  is not contained in  $L$ . Since  $M$  is quadratic, it follows that  $M \cap L = \mathbb{Q}$ , as required. Since the image of  $E(\mathbb{Q}) + E^M(\mathbb{Q})$  in  $E(M)$  has finite index, it follows that  $E(M)/E(\mathbb{Q})$  is finite. □

COROLLARY 4.0.10. *If  $E$  is an elliptic curve over  $\mathbb{Q}$ , then there is an optimal cover  $B \rightarrow E$ , with  $B$  a 2-dimension modular abelian variety, such that*

$$\text{Vis}^B(E(\mathbb{Q})) \cong E(\mathbb{Q})/2E(\mathbb{Q}).$$

*Proof.* Combine Proposition 4.0.9 with Theorem 4.0.8. Also  $B$  is modular since it is isogenous to  $E \times E'$ , where  $E'$  is a quadratic twist of  $E$ . □

Note that the  $B$  of Corollary 4.0.10 is isomorphic to  $(E \times E^D)/\Phi$ , where  $E^D$  is a rank 0 quadratic imaginary twist of  $E$  and  $\Phi \cong E[2]$  is embedded antidiagonally in  $E \times E^D$ . Note that  $E^D$  also has analytic rank 0, since it was constructed using the theorems of [Kol91, Kol88] and [MM97, BFH90]. Thus our construction is compatible with the one of Proposition 5.1.1 below.

5 SOME DATA ABOUT VISIBILITY AND MODULARITY

This section contains a computational investigation of modularity of Mordell-Weil groups of elliptic curves relative to abelian varieties that are quotients of  $J_0(N)$ . One reason that we restrict to  $J_0(N)$  is so that computations are more tractable. Also, for  $m > 2$ , the twisting constructions that we have given in previous sections are no longer allowed since they take place in  $J_1(N)$ . Furthermore, the work of [KL89] suggests that we understand the arithmetic of  $J_0(N)$  better than that of  $J_1(N)$ .

5.1 A VISIBILITY CONSTRUCTION FOR MORDELL-WEIL GROUPS

The following proposition is an analogue of [AS02, Thm. 3.1] but for visibility of Mordell-Weil groups (compare also [CM00, pg. 19]).

PROPOSITION 5.1.1. *Let  $E$  be an elliptic curve over a number field  $K$ , and let  $\Phi = E[m]$  as a  $\text{Gal}(\bar{K}/K)$ -module. Suppose  $A$  is an abelian variety over  $K$  such that  $\Phi \subset A$ , as  $G_{\mathbb{Q}}$ -modules. Let  $B = (A \times E)/\Phi$ , where  $\Phi$  is embedded anti-diagonally. Then there is an exact sequence*

$$0 \rightarrow B(K)/(A(K) + E(K)) \rightarrow E(K)/mE(K) \rightarrow \text{Vis}^B(E(K)) \rightarrow 0.$$

Moreover, if  $(A/E[m])(K)$  is finite of order coprime to  $m$ , then the first term of the sequence is 0, so

$$\text{Vis}^B(E(K)) \cong E(K)/mE(K).$$

*Proof.* Using the definition of  $B$  and multiplication by  $m$  on  $E$ , we obtain the following commutative diagram, whose rows and columns are exact:

$$\begin{array}{ccccccc} & & 0 & & 0 & & 0 \\ & & \downarrow & & \downarrow & & \downarrow \\ 0 & \longrightarrow & E[m] & \longrightarrow & E & \xrightarrow{m} & E \longrightarrow 0 \\ & & \downarrow & & \downarrow & & \downarrow \cong \\ 0 & \longrightarrow & A & \longrightarrow & B & \longrightarrow & E \longrightarrow 0 \\ & & \downarrow & & \downarrow & & \downarrow \\ 0 & \longrightarrow & A/E[m] & \xrightarrow{\cong} & B/E & \longrightarrow & 0 \\ & & \downarrow & & \downarrow & & \\ & & 0 & & 0 & & \end{array}$$

Taking  $K$ -rational points we arrive at the following diagram with exact rows

and columns:

$$\begin{array}{ccccccccc}
 0 & \longrightarrow & E(K)/E(K)[m] & \xrightarrow{m} & E(K) & \longrightarrow & E(K)/mE(K) & \longrightarrow & 0 \\
 & & \downarrow & & \downarrow \cong & & \downarrow & & \\
 0 & \longrightarrow & B(K)/A(K) & \longrightarrow & E(K) & \longrightarrow & \text{Vis}^B(E(K)) & \longrightarrow & 0 \\
 & & \downarrow & & \downarrow & & & & \\
 & & B(K)/(A(K) + E(K)) & & 0 & & & & 
 \end{array}$$

The snake lemma and the fact that the middle vertical map is an isomorphism implies that the right vertical map is a surjection with kernel isomorphic to  $B(K)/(A(K) + E(K))$ . Thus we obtain an exact sequence

$$0 \rightarrow B(K)/(A(K) + E(K)) \rightarrow E(K)/mE(K) \rightarrow \text{Vis}^B(E(K)) \rightarrow 0.$$

This proves the first statement of the proposition. For the second, note that we have an exact sequence  $0 \rightarrow E \rightarrow B \rightarrow A/E[m] \rightarrow 0$ . Taking Galois cohomology yields an exact sequence

$$0 \rightarrow E(K) \rightarrow B(K) \rightarrow (A/E[m])(K) \rightarrow \dots,$$

so  $\#(B(K)/E(K)) \mid \#(A/E[m])(K)$ . If  $(A/E[m])(K)$  is finite of order coprime to  $m$ , then  $B(K)/(A(K) + E(K))$  has order dividing  $\#(A/E[m])(K)$ , so the quotient  $B(K)/(A(K) + E(K))$  is trivial, since it injects into  $E(K)/mE(K)$ .  $\square$

## 5.2 TABLES

The data in this section suggests the following conjecture.

**CONJECTURE 5.2.1.** *Suppose  $E$  is an elliptic curve over  $\mathbb{Q}$  and  $p$  is a prime such that  $E[p]$  is irreducible. Then there exists infinitely many newforms  $g \in S_2(\Gamma_0(N))$ , for various integers  $N$ , such that  $L(g, 1) \neq 0$  and  $E[p] \subset A_g$  and  $\text{Vis}^B(E(\mathbb{Q})) = E(\mathbb{Q})/pE(\mathbb{Q})$ , where  $B = (A_g \times E)/E[p]$ .*

Let  $E$  be the elliptic curve  $y^2 + y = x^3 - x$ . This curve has conductor 37 and Mordell-Weil group free of rank 1. According to [Cre97],  $E$  is isolated in its isogeny class, so each  $E[p]$  is irreducible.

Table 1 gives for each  $N$  the odd primes  $p$  such that there is a mod  $p$  congruence between  $f_E$  and some newform  $g$  in  $S_2(\Gamma_0(37N))$  such that  $A_g$  has rank 0 and the isogeny class of  $A_g$  contains no abelian variety with rational  $p$  torsion. The first time a  $p$  occurs, it is in bold. We bound the torsion in the isogeny class using the algorithm from [AS05, §3.5] with primes up to 17. Thus by Proposition 5.1.1, the Mordell-Weil group of  $E$  is  $p$ -modular of level  $37N$ . A – means there are no such  $p$ . Table 2, which was derived directly from Table 1, gives for a prime  $p$ , all integers  $N$  such that  $E(\mathbb{Q})$  is  $p$ -modular of level  $37N$ .

Table 1: Visibility of Mordell-Weil for  $y^2 + y = x^3 - x$

2	<b>5</b>	19	5	36	—	53	<b>53</b>	70	—	87	—	104	—
3	<b>7</b>	20	—	37	—	54	—	71	3, 7	88	—	105	—
4	—	21	7	38	5	55	—	72	—	89	<b>43</b>	106	5
5	—	22	—	39	—	56	—	73	3, 5	90	—	107	3, 5
6	—	23	<b>11</b>	40	—	57	—	74	—	91	3	108	—
7	<b>3</b>	24	—	41	3, 17	58	—	75	—	92	—	109	3, 7
8	—	25	—	42	—	59	<b>13</b>	76	—	93	7	110	—
9	—	26	—	43	7	60	—	77	—	94	—	111	—
10	—	27	3	44	—	61	5, 7	78	—	95	—	112	—
11	<b>17</b>	28	—	45	—	62	—	79	—	96	—	113	3, 11
12	—	29	3	46	—	63	3	80	—	97	<b>47</b>	114	—
13	—	30	—	47	3	64	—	81	3	98	—	115	—
14	—	31	3	48	—	65	—	82	—	99	—	116	—
15	—	32	—	49	—	66	—	83	3, 11	100	—	117	—
16	—	33	7	50	5	67	3, 5	84	—	101	3, 11	118	—
17	3	34	—	51	—	68	—	85	—	102	—	119	3
18	—	35	—	52	—	69	—	86	—	103	43	120	—

121	—	138	—	155	—	172	—	189	3	206	—
122	—	139	17	156	—	173	3, 5, 11	190	—	207	—
123	—	140	—	157	3, 5	174	—	191	7	208	—
124	—	141	—	158	—	175	—	192	—	209	—
125	5	142	—	159	—	176	—	193	5, 11		
126	—	143	—	160	—	177	—	194	—		
127	<b>127</b>	144	—	161	—	178	—	195	—		
128	—	145	—	162	—	179	3	196	—		
129	—	146	—	163	7, 13	180	—	197	3, 5, 13		
130	—	147	7	164	—	181	3, <b>59</b>	198	—		
131	3	148	—	165	—	182	—	199	3, 11		
132	—	149	5, <b>31</b>	166	—	183	—	200	—		
133	—	150	—	167	3, 5	184	—	201	—		
134	—	151	17	168	—	185	—	202	5		
135	—	152	—	169	—	186	—	203	3		
136	—	153	3	170	—	187	—	204	—		
137	3	154	—	171	—	188	—	205	—		

Table 2: Levels Where Mordell-Weil is  $p$ -Visible for  $y^2 + y = x^3 - x$ 

$p$	$N$ such that $37N$ is a level of $p$ -modularity of $E(\mathbb{Q})$
3	7, 17, 27, 29, 31, 41, 47, 63, 67, 71, 73, 81, 83, 91, 101, 107, 109, 113, 119, 131, 137, 153, 157, 167, 173, 179, 181, 189, 197, 199, 203
5	2, 19, 38, 50, 61, 67, 73, 106, 107, 125, 149, 157, 167, 173, 193, 197, 202
7	3, 21, 33, 43, 61, 71, 93, 109, 147, 163, 191
11	23, 83, 101, 113, 173, 193, 199
13	59, 163, 197
17	11, 41, 139, 151
19 – 29	-
31	149
37 – 41	-
43	89, 103
47	97
53	53
59	181
61 – 113	-
127	127

Ribet's level raising theorem [Rib90] gives necessary and sufficient conditions on a prime  $N$  for there to be a newform  $g$  of level  $37N$  that is congruent to  $f_E$  modulo  $p$ . Note that the form  $g$  is new rather than just  $p$ -new since 37 is prime and there are no modular forms of level 1 and weight 2. If, moreover, we impose the condition  $L(g, 1) \neq 0$ , then Ribet's condition requires that  $p$  divides  $N + 1 + \varepsilon a_N$ , where  $\varepsilon$  is the root number of  $E$ . Since  $E$  has odd analytic rank, in this case  $\varepsilon = -1$ . For each primes  $p \leq 127$  and each  $N \leq 203$ , we find the levels of such  $g$ . If  $f$  is a newform, the *torsion multiple* of  $f$  is a positive integer that is a multiple of the order of the rational torsion subgroup of any abelian variety attached to  $f$ , as computed by the algorithm in [AS05]. The *only* cases in which we don't already find a congruence level already listed in Table 2 corresponding to a newform with torsion multiple coprime to  $p$  are

$$p = 3, \quad N = 43 \quad \text{and} \quad p = 19, \quad N = 47, 79.$$

In all other cases in which Ribet's theorem produces a congruent  $g$  with  $\text{ord}_{s=1} L(g, s)$  even (hence possibly 0), we actually find a  $g$  with  $L(g, 1) \neq 0$  and can show that  $\#A_g(\mathbb{Q})_{\text{tor}}$  is coprime to  $p$ .

For  $p = 3$  and  $N = 43$  we find a unique newform  $g \in S_2(\Gamma_0(1591))$  that is congruent to  $f_E$  modulo 3. This form is attached to the elliptic curve  $y^2 + y = x^3 - 71x + 552$  of conductor 1591, which has Mordell-Weil groups  $\mathbb{Z} \oplus \mathbb{Z}$ . Thus this is an example of a congruence relating a rank 1 curve to a rank 2 curve. For  $p = 19$  and  $N = 47$ , the newform  $g$  has degree 43, so  $A_g$  has dimension 43, we have  $L(g, 1) \neq 0$ , but the torsion multiple is  $76 = 19 \cdot 4$ , which is divisible by 19. For  $p = 19$  and  $N = 79$ , the  $A_g$  has dimension 57, we have  $L(g, 1) \neq 0$ , but the torsion multiple is 76 again.

Tables 3–4 are the analogues of Tables 1–2 but for the elliptic curve  $y^2 + y = x^3 + x^2$  of conductor 43. This elliptic curve also has rank 1 and all mod  $p$  representations are irreducible. The primes  $p$  and  $N$  such that Ribet's theorem produces a congruent  $g$  with  $\text{ord}_{s=1} L(g, s)$  even, yet we do not find one with  $L(g, 1) \neq 0$  and the torsion multiple coprime to  $p$  are

$$p = 3, \quad N = 31, 61 \quad \text{and} \quad p = 11, \quad N = 19, 31, 47, 79.$$

The situation for  $p = 11$  is interesting since in this case all the  $g$  with  $\text{ord}_{s=1} L(g, s)$  even fail to satisfy our hypothesis. At level  $19 \cdot 43$  we find that  $g$  has degree 18 and  $L(g, 1) \neq 0$ , but the torsion multiple is divisible by 11.

Let  $E$  be the elliptic curve  $y^2 + y = x^3 + x^2 - 2x$  of conductor 389. This curve has Mordell-Weil group free of rank 2. Tables 5–6 are the analogues of Tables 1–2 but for  $E$ . The primes  $p$  and  $N$  such that Ribet's theorem produces a congruent  $g$  with  $\text{ord}_{s=1} L(g, s)$  even, yet we do not find one with  $L(g, 1) \neq 0$  and the torsion multiple coprime to  $p$  are

$$p = 3, \quad N = 17 \quad \text{and} \quad p = 5, \quad N = 19.$$

For  $p = 3$ , there is a unique  $g$  of level  $6613 = 37 \cdot 17$  with  $\text{ord}_{s=1} L(g, s)$  even and  $E[3] \subset A_g$ . This form has degree 5 and  $L(g, 1) = 0$ , so this is another

Table 3: Visibility of Mordell-Weil for  $y^2 + y = x^3 + x^2$ 

$N$	$p's$	$N$	$p's$	$N$	$p's$	$N$	$p's$	$N$	$p's$	$N$	$p's$	$N$	$p's$
2	<b>5</b>	17	<b>3, 7</b>	32	—	47	—	62	—	77	—	92	—
3	<b>3</b>	18	—	33	3	48	—	63	—	78	—	93	—
4	—	19	—	34	5	49	—	64	—	79	—	94	—
5	5	20	—	35	—	50	5	65	—	80	—	95	—
6	—	21	—	36	—	51	3	66	—	81	3	96	—
7	—	22	5	37	19	52	—	67	<b>71</b>	82	—	97	<b>7, 13</b>
8	—	23	5	38	—	53	<b>59</b>	68	—	83	<b>3, 23</b>	98	—
9	—	24	—	39	3	54	—	69	—	84	—	99	3
10	—	25	—	40	—	55	5	70	—	85	5	100	—
11	3	26	—	41	<b>37</b>	56	—	71	5, 7	86	—		
12	—	27	3	42	—	57	3	72	—	87	3		
13	<b>19</b>	28	—	43	—	58	—	73	3	88	—		
14	—	29	3	44	—	59	3	74	—	89	<b>47</b>		
15	—	30	—	45	—	60	—	75	—	90	—		
16	—	31	—	46	—	61	5	76	—	91	—		

Table 4: Levels Where Mordell-Weil is  $p$ -Visible for  $y^2 + y = x^3 + x^2$ 

$p$	$N$ such that $43N$ is a level of $p$ -modularity of $E(\mathbb{Q})$
3	3, 11, 17, 27, 29, 33, 39, 51, 57, 59, 73, 81, 83, 87, 99
5	2, 5, 22, 23, 34, 50, 55, 61, 71, 85
7	17, 71, 97
11	—
13	97
17	—
19	13, 37
23	83
29, 31	—
37	41
41, 43	—
47	89
53	—
59	53
61, 67	—
71	67



Table 5: Visibility of Mordell-Weil for  $y^2 + y = x^3 + x^2 - 2x$ 

$N$	$p's$	$N$	$p's$	$N$	$p's$	$N$	$p's$	$N$	$p's$
1	<b>5</b>	7	3	13	<b>11</b>	19	—	25	—
2	—	8	—	14	—	20	—	26	—
3	—	9	3	15	3	21	—	27	3
4	—	10	—	16	—	22	—	28	—
5	<b>3</b>	11	—	17	—	23	5	29	3
6	—	12	—	18	—	24	—		

Table 6: Levels Where Mordell-Weil is  $p$ -Visible for  $y^2 + y = x^3 + x^2 - 2x$ 

$p$	$N$ such that $389N$ is a level of $p$ -modularity of $E(\mathbb{Q})$
3	5, 7, 9, 15, 27, 29
5	1, 23
7	—
11	13

example where the rank 0 hypothesis of Proposition 5.1.1 is not satisfied. Note that the torsion multiple in this case is 1. For  $p = 5$ , there is a unique  $g$  of level  $7391 = 37 \cdot 19$ , with  $\text{ord}_{s=1} L(g, s)$  even and  $E[5] \subset A_g$ . This form has degree 4 and  $L(g, 1) \neq 0$ , but the torsion multiple is divisible by 5.

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